Little Rock Trade and Investment Ltd.

Admin. Office: 9/14, (First Floor) East Patel Nagar, New Delhi – 110008 Website: www.lrtil.co.in Ph: +911125769111 (Multiple Lines)

Email: secretarial@lrtil.co.in/ satishagarwal307@yahoo.com

CIN: L67120WB1981PLC033628

The President Kolkata Stock Exchange Ltd

7- Lyons Range Kolkata - 700001 Date: April 11, 2016,

Ref

CSE Scrip Code = 22055 & 10022055

Dear Sir,

Sub: Submission of Quarterly & Annual Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 for Quarter and Financial Year ended on March 31, 2016.

Pursuant to requirement of the Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, Please find enclosed herewith Compliance Report on Corporate Governance for the Quarter ended March 31, 2015 (Annexure-I) and for Financial Year ended on March 31, 2015 (Annexure-II) .

Please acknowledge receipt.

For any further documents/information, please do let us know.

Thanking you

Yours faithfully

For Little Rock Trade & Investment Ltd.

For Little Rock Trade And Investment Ltd

Authorised Signatory Deepa Nanda (Director)

SP NEW DELHI HO (EXTN) (110001 ED009719580IN Counter No:2,0P-Code:GAU To:SECRETARY, KSEL KOLKATA, PIN:700001

From: GEMS COMMERCIAL CO LTD , NEW DELHI

Wt:30grams, Amt:40.00 ,12/04/2016 ,16:51

Taxes:Rs.5.00((Track on www.indiapost.gov.in))

Encl: 1. Annexure I- Compliance Report on Corporate Governance for Quarter ended on March 31, 2016 2. Annexure II- Compliance Report on Corporate Governance for FY ended on March 31, 2016

Regd. Office: 11C, Ram Mohan Dutta Road, Ground Floor, Kolkata-700020

1 N-	me of I				LOI SEBIL L	isting Obligations & Disclos	ure Requirements) Regulatio	
2. Qu	ame of Listed Entity: uarter ending:	Little Rock Trade ar	nd Investment I				Regulatio Regulatio	ns 2015
	anter chang.	31st March, 2016	vesument Ltd.					
I.	Composition of Bo	ard of Directors						
Title (Mr. /	i i unie oi the	PAN & DIN						
Ms)			Category	Date of Appoir	t Tenure			
			(Chairperson/Exe cutive/N on-	ment in the	Tondie		ted Number of memberships	
			Executive/in	current		Cititles including this it-	ted Audit/ Stakeholder	-
			dependent/N	term/cessation		entity (Refer Regulation 2 of Listing Regulations)	(1) [Committee(s) including the	Chairpers
Mr.			ominee) &			The strong trong transfer of the strong trans	/ IISted entity /Data-	
IVII.	Deepa Nanda	PAN: AVGPK3223Q	110				Regulation 26(1) of Listin	g listed
Mr.	Sanks D	DIN: 06535125	Whole Time	14/05/2013	N/A		Regulations)	including
	Sankar Barik	PAN: DXTPS9799M	Director		1.00	3		entity
Mr.	Rajni	DIN: 06535363	Independent Director	01/04/2013	36 Months	-	3	
		PAN: EVJPS7454G	Executive	04/00		2		
		DIN: 06553628	Director/CFO	24/03/2015	N/A		2	1
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	Sommittee				100			
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00						Name of Committee members	Category (Chairperson/Ex	and!
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Nominati	ion & Remuneration C	Committee				3. Deepa Nanda	Independent	
							Chairman	
1						1. Rajni Rawat 2. Sankar Sankar Barik	Executive	
« Mana	agement Committee(if applicable)				3. Deepa Nanda	Independent	
takehold	lers Relationship Com					- Topa Nanda	Chairman	
	- Conditionship Com	nmittee'						
					1	.Rajni Rawat		
					2	Deepa Nanda	Member Chairman	
Meeti	ing of Board of Direction	ctors					Chairman	
(s) of Me	eeting (if any) in the p	roude						
	07-11-201		Date(s) of Meeting (if		unt quarter		Maximum ass but	N. E.S. E. C. S. T.
		15					gap between any tur	Conse
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Compliance Report on Corporate Governance under Regulation 27(2) of SEBI(LOADR) Regulations 2015 for the Financial Year ended on March 31, 2016 Name of the Entity Little Rock Trade and Investment Ltd. Financial Year ended on: March 31, 2016 I. Disclosure on website in terms of Listing Regulations Details of business Terms and conditions of appointment of independent directors Compliance status Composition of various committees of board of directors Yes Code of conduct of board of directors and senior management personnel No Details of establishment of vigil mechanism/ Whistle Blower policy Yes Criteria of making payments to non-executive directors Yes Policy on dealing with related party transactions NO Policy for determining 'material' subsidiaries NA Details of familiarization programmes imparted to independent directors No Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances NA No email address for grievance redressal and other relevant details Yes Financial results Shareholding pattern Yes Details of agreements entered into with the media companies and/or their associates Yes New name and the old name of the listed entity Yes II Annual Affirmations NA **Particulars** NA Independent director(s) have been appointed in terms of specified criteria 16(1)(b) & 25(6) Regulation Number of 'independence' and/or 'eligibility' Compliance status Yes Board composition Meeting of Board of directors 17(1) Yes Review of Compliance Reports 17(2) Yes for orderly succession for appointments 17(3) Yes of Conduct 17(4) Yes Fees/compensation 17(5) Yes Minimum Information 17(6) Compliance Certificate Yes 17(7) Risk Assessment & Management Yes 17(8) Performance Evaluation of Independent Directors Yes 17(9) Composition of Audit Committee Yes 17(10) Meeting of Audit Committee Yes 18(1) Composition of nomination & remuneration committee Yes 18(2) Composition of Stakeholder Relationship Committee Yes 19(1) & (2) Composition and role of risk management committee Yes 20(1) & (2) Yes vigil Mechanism 21(1),(2),(3),(4) NA Policy for related party Transaction Prior or Omnibus approval of Audit Committee for all related party Yes 23(1),(5),(6),(7) & (8) transactions 23(2), (3) Yes NA Approval for material related party transactions 23(4) Composition of Board of Directors of unlisted material Subsidiary NA 24(1) NA Other Corporate Governance requirements with respect to subsidiary of 24(2),(3),(4),(5) & (6) NA Maximum Directorship & Tenure Meeting of independent directors 25(1) & (2) Familiarization of independent directors Yes 25(3) & (4) Yes berships in Committees 25(7) nation with compliance to code of conduct from members of Board of 26(3)

NOTE

I in the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here.

Directors and Senior management personnel

Disclosure of Shareholding by Non-Executive Directors

3 If the Listed Entity would like to provide any other information the same may be indicated here.

Policy with respect to Obligations of directors and senior management

III Affirmations:

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied.

26(4)

26(2) & 26(5)

For Little Rock Trade and Investment Ltd.

or Little Rock Trade And Investment Ltd.

Deepa Nanda Director/Authorised Signatory Director

Date: 11/04/2016 Place: New Delhi

Yes

Yes

Yes

Yes

No